

RECORD OF PROCESSING ACTIVITIES

REPORTING REQUIREMENTS FOR THEMATIC REVIEWS

1. PROCESSING PARTIES

1.1 CONTROLLER NAME

Authority for Anti-Money Laundering Authority and
Countering the Financing of Terrorism (AMLA)
MesseTurm
Friedrich-Ebert-Anlage 49
60308 Frankfurt am Main
Germany

RESPONSIBLE UNIT

Indirect Supervision Team; contact: Indirect-Supervision@amla.europa.eu

1.2 DATA PROTECTION OFFICER name

AMLA DPO

CONTACT DETAILS

dpo@amla.europa.eu

1.3 PROCESSOR NAME (IF APPLICABLE)

EU COM – EU Survey
EU COM also acts as Controller for EU Login, the
authentication tool to use EU Survey
CISCO Webex

CONTACT DETAILS

For EU Survey (EU COM)
<https://ec.europa.eu/eusurvey/home/support>
EU COM DPO DATA-PROTECTION-OFFICER@ec.europa.eu
<https://privacyrequest.cisco.com/>

1.4 JOINT CONTROLLERS NAME (IF APPLICABLE)

[Click or tap here to enter text.](#)

CONTACT DETAILS

[Click or tap here to enter text.](#)

2. PROCESSING ACTIVITY

2.1 NAME OF THE ACTIVITY

[Reporting requirements under Articles 9 AMLAR](#)

2.2 PURPOSE OF THE PROCESSING OF PERSONAL DATA

The purpose of the processing of personal data is to identify the contact person of the National competent authority who is responsible for providing a response of the respective authority to the AMLA survey and to ensure that AMLA can raise questions or comments with the competent natural persons in the responding authority directly.

2.3 OTHER PURPOSES

2.4 LEGAL BASIS

Choose applicable as per Article 5(1) of Reg. (EU) 2018/1725:

Check
(YES/NO)

Art. 5(1)(a) For the performance of a task carried out in public interest or under AMLA Regulation

/

Please name the task:
[Article 9 AMLAR](#)

Art. 5(1)(b) For complying with a legal obligation upon the Unit/Authority	<input type="checkbox"/> /☒	Please specify the legal obligation: Click or tap here to enter text.
Art. 5(1)(c) For contractual reasons of the Data Subject	<input type="checkbox"/> /☒	Click or tap here to enter text.
Art. 5(1)(d) The Data Subject has given consent for one (or more) purposes as listed above	<input type="checkbox"/> /☒	Please explain how the consent is gathered: Privacy statement on AMLA website
Art. 5(1)(e) For protecting the vital interests of the data subject	<input type="checkbox"/> /☒	Please specify: Click or tap here to enter text.

2.5 NECESSITY OF THE PROCESSING OF PERSONAL DATA

By legal obligation, AMLA must collect reporting of EU-Member States. The person acting as a contact person needs to be identified for questions and other necessary communication.

2.6 DATA SUBJECTS

- | | |
|---|--|
| <input type="checkbox"/> Staff (TA/CA)
<input type="checkbox"/> SNEs
<input type="checkbox"/> Trainees
<input type="checkbox"/> Outsourced personnel | <input checked="" type="checkbox"/> Member States/ Competent authorities contacts
Selected contact persons of the AMLA-members
<input type="checkbox"/> Other contacts (please specify)
<input type="checkbox"/> Other (please specify) |
|---|--|

2.7 CATEGORIES OF PERSONAL DATA

- | | |
|--|---|
| <input checked="" type="checkbox"/> Name
<input checked="" type="checkbox"/> Surname
<input type="checkbox"/> Place/Date of Birth
<input type="checkbox"/> Gender
<input checked="" type="checkbox"/> Country of Work (not necessarily nationality)
<input type="checkbox"/> ID Card/Passport Number/ Personal Number
<input type="checkbox"/> Picture | <input checked="" type="checkbox"/> Communication Data (Email Account, Telephone Number, Address Work or Personal - please specify) Email, IP address/Device ID, duration of the browsing session
<input type="checkbox"/> Title, Function (please specify)
<input type="checkbox"/> Financial information (Bank Account or Others – please specify)
<input type="checkbox"/> Bio
<input type="checkbox"/> CV
<input type="checkbox"/> Location
<input type="checkbox"/> Others |
|--|---|

2.8 DATA RETENTION

Data category

Time limit

[All data categories](#)

The survey will be run annually so annually there will be a review whether the contact provided is still valid and if not, it will be deleted

3. DISCLOSURE OF PERSONAL DATA - RECIPIENTS WHERE PERSONAL DATA IS DISCLOSED

3.1 INTERNAL UNITS

(Please list all internal entities to whom the data will be disclosed):

Indirect Supervision Unit

3.2 MEMBER STATES AUTHORITIES OR THIRD PARTIES (i.e.: private sector) WITHIN THE EU

3.3 THIRD COUNTRIES AND/OR INTERNATIONAL ORGANISATIONS

(If this is the case, please document the additional safeguards in compliance with Art. 48 of the DPR):

4. PRIVACY STATEMENT/ DATA PROTECTION NOTICE

FOR MORE INFORMATION INCLUDING HOW TO EXERCISE YOUR RIGHTS TO ACCESS, RECTIFICATION, OBJECT AND DATA PORTABILITY (WHERE APPLICABLE).
FOR DRAFTING OF THE PRIVACY STATEMENT, PLEASE FOLLOW ART. 15-16 OF THE DPR.

4.1 Please insert a link if available, or the text of the Privacy Statement:

[Available on the Survey page](#)

4.2 Please explain how you intend to provide the Privacy Statement to the Data Subjects (i.e. via email, teams, Website, etc.):

The PS will be placed on the survey

5. DATA SECURITY

5.1 ORGANISATIONAL MEASURES

EU Survey stores the EU Login username as well as e-mail addresses of the survey owners at the Data Centre of the European Commission.

The European Commission has implemented security measures to protect server hardware, software and the network from accidental or malicious manipulations and loss of data. All data is stored on European Commission servers managed by DG DIGIT in line with the technical security provisions laid down in the Commission Decision (EU, Euratom) 2017/46 of 10 January 2017 on the security of communication and information systems in the European Commission, its subsequent versions, its implementing rules (as adapted from time to time) and the corresponding security standards and guidelines, as well as the Commission Decision (EU, Euratom) 2015/443 of 13 March 2015 on the security in the Commission, its implementing rules and the corresponding security notices. These documents (as adapted from time to time) are available for consultation at the following address: https://ec.europa.eu/info/publications/security-standards-applying-all-european-commission-information-systems_en. Additionally, the personal data will be stored internally in the AMLA IT environment once extracted.

5.2 TECHNICAL MEASURES

	Check (YES/NO)	Description (if YES)
Pseudonymisation or Encryption	<input checked="" type="checkbox"/> / <input type="checkbox"/>	
Measures to ensure:		
– Confidentiality of Data	<input checked="" type="checkbox"/> / <input type="checkbox"/>	
– Integrity of Data	<input checked="" type="checkbox"/> / <input type="checkbox"/>	
– Availability of Data	<input checked="" type="checkbox"/> / <input type="checkbox"/>	

Resilience of Systems and Services	<input checked="" type="checkbox"/> /□	
Restoration of availability and access to Personal Data in a timely manner	<input checked="" type="checkbox"/> /□	
Process for testing, assessing and evaluation of the effectiveness the measures	□/☒	