

# RECORD OF PROCESSING ACTIVITIES

## PUBLIC HEARINGS

### 1. PROCESSING PARTIES

#### 1.1 CONTROLLER NAME

Authority for Anti-Money Laundering and Countering  
the Financing of Terrorism (AMLA)  
MesseTurm  
Friedrich-Ebert-Anlage 49  
60308 Frankfurt am Main  
Germany

#### RESPONSIBLE UNIT

Executive Director/Governance and Planning Unit

#### 1.2 DATA PROTECTION OFFICER name

DPO

#### CONTACT DETAILS

[DPO@amla.europa.eu](mailto:DPO@amla.europa.eu)

#### 1.3 PROCESSOR NAME (IF APPLICABLE)

Cisco Webex

#### CONTACT DETAILS

[webexeurope@cisco.com](mailto:webexeurope@cisco.com)

#### 1.4 JOINT CONTROLLERS NAME (IF APPLICABLE)

N/A

#### CONTACT DETAILS

N/A

### 2. PROCESSING ACTIVITY

#### 2.1 NAME OF THE ACTIVITY

Public Hearings

#### 2.2 PURPOSE OF THE PROCESSING OF PERSONAL DATA

The purpose of processing your personal data is to gather oral input from stakeholders on key regulatory proposals and policy initiatives, providing an interactive platform to discuss issues, ask questions and share perspectives directly with AMLA experts. AMLA complements its public consultations with public hearings. The input gathered during the public hearings will help AMLA to inform its regulatory and policy development, ensuring that diverse perspectives are considered before decisions are finalised. To conduct these hearings, AMLA needs to collect basic information for you to participate (registration) in the public hearing, which takes place via Cisco Webex. The identifying data is only used for the purpose of registration.

#### 2.3 OTHER PURPOSES

N/A

#### 2.4 LEGAL BASIS

Choose applicable as per Article 5(1) of Reg. (EU)  
2018/1725:

Check  
(YES/NO)

**Art. 5(1)(a)** For the performance of a task carried out  
in public interest or under AMLA Regulation

Please name the task:

N/A

<b>Art. 5(1)(b)</b> For complying with a legal obligation upon the Unit/Authority	<input type="checkbox"/>	<i>Please specify the legal obligation:</i> N/A
<b>Art. 5(1)(c)</b> For contractual reasons of the Data Subject	<input type="checkbox"/>	N/A
<b>Art. 5(1)(d)</b> The Data Subject has given consent for one (or more) purposes as listed above	<input checked="" type="checkbox"/>	<i>Please explain how the consent is gathered:</i> The participants provide the consent by providing the contact details required to register in the participation of the hearing – at the moment of providing the registration, the data subjects are informed with a link to the Privacy Statement
<b>Art. 5(1)(e)</b> For protecting the vital interests of the data subject	<input type="checkbox"/>	<i>Please specify:</i> Click or tap here to enter text.

## 2.5 NECESSITY OF THE PROCESSING OF PERSONAL DATA

Public hearings complement the processes launched on public consultations. Participants therefore can have real-time dialogue with AMLA experts, clarify positions, respond to questions, and hear the views of other stakeholders. The entire input allows for participation of all stakeholders in the drafting of different measures. While AMLA only needs to collect the input, personal data is necessary to ensure proper and orderly participation in the hearing via registration. The data is required in order to access the platform that allows the hearing.

## 2.6 DATA SUBJECTS

- |   |  |
|---|--|
| <input type="checkbox"/> Staff (TA/CA)<br><input type="checkbox"/> SNEs<br><input type="checkbox"/> Trainees<br><input type="checkbox"/> Outsourced personnel | <input type="checkbox"/> Member States/ Competent authorities contacts<br><input type="checkbox"/> Other contacts (please specify)<br><input checked="" type="checkbox"/> Other (please specify) All interested stakeholders, including but not limited to financial institutions, non-financial businesses and professions, civil society organisations, academia, sectoral federations and organisations, and other relevant parties. Participants can be natural persons representing their personal views or that of a legal person. |
|---|--|

## 2.7 CATEGORIES OF PERSONAL DATA

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Name<br><input checked="" type="checkbox"/> Surname<br><input type="checkbox"/> Place/Date of Birth<br><input type="checkbox"/> Gender (by inference)<br><input checked="" type="checkbox"/> Communication Data (Email Account, Telephone Number, Address Work or Personal - please specify)<br>Email address<br><input type="checkbox"/> Title, Function (please specify): | <input checked="" type="checkbox"/> Location: Country from which your organization carries out its main activities<br><input checked="" type="checkbox"/> Others<br>Name of the organization (if applicable) – not mandatory; sector of operations of the data subject or the organization of the data subject; views of the data subject expressed during the hearing will not be correlated to the participant |
|---|--|

## 2.8 DATA RETENTION

<i>Data category</i>	<i>Time limit</i>
All identifiers.	1 month since the end of the hearing
Views and opinions	Not correlated to the identifier – will be reflected generally and aggregated in a final report with a section on feedback received.

**3. DISCLOSURE OF PERSONAL DATA - RECIPIENTS WHERE PERSONAL DATA IS DISCLOSED**

**3.1 INTERNAL UNITS**

*(Please list all internal entities to whom the data will be disclosed):*

Governance and Planning Unit, the relevant Policy Unit and the IT unit

**3.2 MEMBER STATES AUTHORITIES OR THIRD PARTIES (i.e.: private sector) WITHIN THE EU**

N/A

**3.3 THIRD COUNTRIES AND/OR INTERNATIONAL ORGANISATIONS**

*(If this is the case, please document the additional safeguards in compliance with Art. 48 of the DPR):*

United States of America, under the adequacy decision pursuant to Article 47 EUI DPR, in particular, the EU-US Privacy Framework. You can find more information here <https://www.dataprivacyframework.gov/s/participant-search/participant-detail?id=a2zt0000000GnJ2AAK&status=Active>

The data transferred is technical data used by the processor, Cisco Webex, to hold the hearing, in order to ensure the participation and communication.

**4. PRIVACY STATEMENT/ DATA PROTECTION NOTICE**

FOR MORE INFORMATION INCLUDING HOW TO EXERCISE YOUR RIGHTS TO ACCESS, RECTIFICATION, OBJECT AND DATA PORTABILITY (WHERE APPLICABLE). FOR DRAFTING OF THE PRIVACY STATEMENT, PLEASE FOLLOW ART. 15-16 OF THE DPR.

**4.1 Please insert a link if available, or the text of the Privacy Statement:**

[https://www.aml.europa.eu/document/download/4b7b3b56-adb2-4789-a4ab-546f1a2a3667\\_en?filename=5%20-%20Public%20Hearings%20-%20Privacy%20Statement.pdf](https://www.aml.europa.eu/document/download/4b7b3b56-adb2-4789-a4ab-546f1a2a3667_en?filename=5%20-%20Public%20Hearings%20-%20Privacy%20Statement.pdf)

**4.2 Please explain how you intend to provide the Privacy Statement to the Data Subjects (i.e. via email, teams, Website, etc.):**

The Privacy Statement link is available on the registration page.

**5. DATA SECURITY**

**5.1 ORGANISATIONAL MEASURES**

Only the necessary internal persons will be able to access the hearing, the list of registered participants will be available to the units responsible for the hearing.

**5.2 TECHNICAL MEASURES**

	Check (YES)	Description (if YES)
Pseudonymisation or Encryption	<input checked="" type="checkbox"/>	
Measures to ensure:		
– Confidentiality of Data	<input checked="" type="checkbox"/>	yes
– Integrity of Data	<input checked="" type="checkbox"/>	yes
– Availability of Data	<input checked="" type="checkbox"/>	yes

Resilience of Systems and Services	<input checked="" type="checkbox"/>	yes
Restoration of availability and access to Personal Data in a timely manner	<input checked="" type="checkbox"/>	yes
Process for testing, assessing and evaluation of the effectiveness the measures	<input type="checkbox"/>	no