

RECORD OF PROCESSING ACTIVITIES

SECTORAL ROUNDTABLES

1. PROCESSING PARTIES

1.1 CONTROLLER NAME

Authority for Anti-Money Laundering Authority and
 Countering the Financing of Terrorism (AMLA)
 MesseTurm
 Friedrich-Ebert-Anlage 49
 60308 Frankfurt am Main
 Germany

RESPONSIBLE UNIT

Policy on Private Sector Standards.
sdd-roundtables@amla.europa.eu

1.2 DATA PROTECTION OFFICER name

DPO

CONTACT DETAILS

dpo@amla.europa.eu

1.3 PROCESSOR NAME (IF APPLICABLE)

EU COM – EU Survey
 EU COM also acts as Controller for EU Login, the
 authentication tool to use EU Survey

CONTACT DETAILS

For EU Survey (EU COM)
<https://ec.europa.eu/eusurvey/home/support>
EU_COM_DPO_DATA-PROTECTION-OFFICER@ec.europa.eu

1.4 JOINT CONTROLLERS NAME (IF APPLICABLE)

N/A

CONTACT DETAILS

2. PROCESSING ACTIVITY

2.1 NAME OF THE ACTIVITY

Sectoral roundtables.

2.2 PURPOSE OF THE PROCESSING OF PERSONAL DATA

The purpose of the processing personal data is the organisation of roundtables to gather experience from stakeholders, which entails exchange views, information or expertise through this event, or written exchanges, including surveys, with stakeholders, on subject matters falling under the work of AMLA, and to help further AMLA's work on regulatory topics.

2.3 OTHER PURPOSES

N/A

2.4 LEGAL BASIS

Choose applicable as per Article 5(1) of Reg. (EU)
 2018/1725:

Check
 (YES/NO)

Art. 5(1)(a) For the performance of a task carried out in
 public interest or under AMLA Regulation

Y/

Please name the task:

Article 6(2)(d) (EU) 2024/1624 – to issue
 guidelines and recommendations
 Article 54 AMLAR

Art. 5(1)(b) For complying with a legal obligation upon the Unit/Authority	/N	Please specify the legal obligation:
Art. 5(1)(c) For contractual reasons of the Data Subject	/N	
Art. 5(1)(d) The Data Subject has given consent for one (or more) purposes as listed above	Y/	Please explain how the consent is gathered: The names of other types of stakeholders may be shared with the other participants to the roundtables on the basis of their consent. (Article 5(1)(d) of Regulation (EU) 2018/1725). Consent will be collected when participants accept the invitation.
Art. 5(1)(e) For protecting the vital interests of the data subject	/N	Please specify:

2.5 NECESSITY OF THE PROCESSING OF PERSONAL DATA

AMLA needs to gather expertise from the different sectors that will allow the Authority to shape the content of guidelines and/or recommendations to be issued in the future, including any connected work to the guidelines and recommendations.

2.6 DATA SUBJECTS

- ☒ Representatives of obliged entities or private sector stakeholders
- ☒ Representatives from national supervisory competent authorities.

2.7 CATEGORIES OF PERSONAL DATA

Name Surname Job title Activity or organization which the individual works for or represents Motivation for participation Professional experience and knowledge in AML/CFT and customer due diligence. Explanation on how the individual's expertise, experience, or perspective would contribute to the discussion on simplified customer due diligence	Communication Data – email address (professional), website of the organization for which the individual works for or represents Others : Country from which the individual or his/her organisation carries out its main activities Description of the sector, business models or entities that the individual represents Dietary preferences
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2.8 DATA RETENTION

Data category	Time limit
All data categories	30 days since the end of the event

3. DISCLOSURE OF PERSONAL DATA - RECIPIENTS WHERE PERSONAL DATA IS DISCLOSED

3.1 INTERNAL UNITS

(Please list all internal entities to whom the data will be disclosed):

Relevant staff of Policy on Private Sector Standards

3.2 MEMBER STATES AUTHORITIES OR THIRD PARTIES (i.e.: private sector) WITHIN THE EU

N/A

3.3 THIRD COUNTRIES AND/OR INTERNATIONAL ORGANISATIONS

(If this is the case, please document the additional safeguards in compliance with Art. 48 of the DPR):

N/A

4. PRIVACY STATEMENT/ DATA PROTECTION NOTICE

FOR MORE INFORMATION INCLUDING HOW TO EXERCISE YOUR RIGHTS TO ACCESS, RECTIFICATION, OBJECT AND DATA PORTABILITY (WHERE APPLICABLE).
FOR DRAFTING OF THE PRIVACY STATEMENT, PLEASE FOLLOW ART. 15-16 OF THE DPR.

4.1 Please insert a link if available, or the text of the Privacy Statement:

<INSERT LINK TO PRIVACY STATEMENT>

4.2 Please explain how you intend to provide the Privacy Statement to the Data Subjects (i.e. via email, teams, Website, etc.):

Via a link in the press release on the call for interest.

5. DATA SECURITY

5.1 ORGANISATIONAL MEASURES

Access is controlled by EU COM and within AMLA restricted to the relevant staff only.

EU Survey stores the EU Login username as well as e-mail addresses of the survey owners at the Data Centre of the European Commission.

The European Commission has implemented security measures to protect server hardware, software and the network from accidental or malicious manipulations and loss of data. All data is stored on European Commission servers managed by DG DIGIT in line with the technical security provisions laid down in the Commission Decision (EU, Euratom) 2017/46 of 10 January 2017 on the security of communication and information systems in the European Commission, its subsequent versions, its implementing rules (as adapted from time to time) and the corresponding security standards and guidelines, as well as the Commission Decision (EU, Euratom) 2015/443 of 13 March 2015 on the security in the Commission, its implementing rules and the corresponding security notices. These documents (as adapted from time to time) are available for consultation at the following address: https://ec.europa.eu/info/publications/security-standards-applying-all-european-commission-information-systems_en. Additionally, personal data will be stored internally in the AMLA IT environment once extracted.

5.2 TECHNICAL MEASURES

	Check (YES/NO)	Description (if YES)
Pseudonymisation or Encryption	☒ /□	Click or tap here to enter text.
Measures to ensure:		
– Confidentiality of Data	☒ /□	Click or tap here to enter text.
– Integrity of Data	☒ /□	Click or tap here to enter text.

– Availability of Data	☒ /□	Click or tap here to enter text.
Resilience of Systems and Services	☒ /□	Click or tap here to enter text.
Restoration of availability and access to Personal Data in a timely manner	☒ /□	Click or tap here to enter text.
Process for testing, assessing and evaluation of the effectiveness of the measures	□/☒	Click or tap here to enter text.