

# **RESPONSES TO REQUESTS** **FOR INFORMATION**

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Fields marked with \* are mandatory, and must always be completed, while fields marked with \*\* are conditional mandatory and must be completed only when relevant or when the related information is available to the disclosing FIU. Fields marked with \*\*\* shall be replicated as necessary on a case-by-case basis.

| <b>SECTION 1 – RESPONSE DETAILS</b> |                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                    |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                     | <b>Fields/Types of information</b>                                                                                                                               | <b>Description</b>                                                                                                                                                                                                                                                                                                                 |
| *                                   | From FIU:<br>Name: _____ Jurisdiction: _____<br><br>To FIU(s):<br>Name: _____ Jurisdiction: _____                                                                | Identification of the FIU through two-digit ISO code.<br><br>The recipients could include, in addition to the indication of the requesting FIU, other interested counterparts to which the information is sent (where this is considered appropriate and provided the necessary consent has been expressed by the requesting FIU). |
| *                                   | Request reference number: _____                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                    |
| *                                   | Date of the request: _____                                                                                                                                       | Date of the request originating the response in format DD-MM-YYYY                                                                                                                                                                                                                                                                  |
| *                                   | Response reference number: _____                                                                                                                                 | Unique identifier allowing to trace the exchange back to each FIU's case file.                                                                                                                                                                                                                                                     |
| *                                   | Date of the response: _____                                                                                                                                      | Format DD-MM-YYYY                                                                                                                                                                                                                                                                                                                  |
| **                                  | Reasons for: <ul style="list-style-type: none"> <li>• Delay: _____</li> <li>• Partial response: _____</li> <li>• Refusal to provide a response: _____</li> </ul> | Mandatory when relevant.                                                                                                                                                                                                                                                                                                           |

|  |                           |                                                                                                   |
|--|---------------------------|---------------------------------------------------------------------------------------------------|
|  | FIU contact person: _____ | Name, position and contact details (phone and email) of the contact person at the requesting FIU. |
|--|---------------------------|---------------------------------------------------------------------------------------------------|

| <b>SECTION 2 –SUSPENSION</b> |                                                                                                                                                                                                           |                                                                                                                                                                                                              |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                              | <b>Fields/Types of information</b>                                                                                                                                                                        | <b>Description</b>                                                                                                                                                                                           |
| <b>**</b>                    | <b>2.1. RESPONSE TO A REQUEST FOR SUSPENSION</b>                                                                                                                                                          | Feedback on the request for suspension.<br>To be filled-in in cases where the requesting FIU has sought cooperation for the suspension.                                                                      |
| <b>*</b>                     | Transaction/account suspended:<br><br><input type="checkbox"/> Yes<br><input type="checkbox"/> No<br><br>Reasons why the transaction/account has not been suspended: _____                                | If “yes” is ticked, the reasons shall be provided.                                                                                                                                                           |
| <b>**</b>                    | <input type="checkbox"/> The transaction has been suspended until _____.<br><input type="checkbox"/> The account/business relationship _____ has been suspended until _____.<br>Current balance is _____. | To be filled-in in cases where the transaction /account has been suspended.                                                                                                                                  |
| <b>**</b>                    | Amount of assets suspended: _____                                                                                                                                                                         | Amount with currency.                                                                                                                                                                                        |
|                              | Description: _____                                                                                                                                                                                        | Additional relevant information about suspension.<br><br>In case actions other than, or prior to, suspension is taken (e.g. monitoring orders or other instructions) these actions should be described here. |

|    |                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                       |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | <b>2.2. REQUEST FOR SUSPENSION</b>                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                       |
| *  | <input type="checkbox"/> Suspension requested<br><br>Available details of the accounts or transactions for which the suspension is requested:<br>_____<br><br><ul style="list-style-type: none"> <li>• Transaction originator: _____</li> <li>• Beneficiary information: _____</li> <li>• Others: _____</li> </ul> | <p>To be filled in cases where the responding FIU seeks cooperation for the suspension.</p> <p>If the box “<i>Suspension requested</i>” is ticked”, other information (free text) shall be added allowing to clearly and promptly identify the accounts or transactions that should be suspended.</p> |
| *  | Amount of assets to be suspended: _____                                                                                                                                                                                                                                                                            | Amount (with currency).                                                                                                                                                                                                                                                                               |
| *  | Reasons for the request for suspension and grounds of suspicion:<br><input type="checkbox"/> Urgency<br><input type="checkbox"/> Risk of dissipation<br><input type="checkbox"/> Assets’ flight<br><input type="checkbox"/> Other: free text                                                                       |                                                                                                                                                                                                                                                                                                       |
| *  | Expected duration: _____                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                       |

### ***SECTION 3 – INVOLVED PERSONS***

This section shall be filled with information on persons (natural and legal) indicated in the request, as well as other persons identified in processing the case.

|           | Fields/Types of information                                      | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>**</b> | <b>3.1. NATURAL PERSONS TO WHOM THE RESPONSE REFERS TO (***)</b> | <p>This field has to be filled only if subjects were not already indicated and fully identified in previous exchanges on the same case (including the request for information); if already fully identified, reference to subjects/accounts shall be included in the '<i>GENERAL DESCRIPTION-TEXT OF THE RESPONSE</i>'.</p> <p>Data in this section should concern:</p> <ul style="list-style-type: none"><li>a) the “natural persons to whom the request refers to”, on which feedback is provided (section 3.1 of the template for requests);</li><li>b) the “other related natural persons” referred to in the request to which feedback is provided (section 3.3 of the template for requests).</li></ul> |

|    |                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                               |
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|    |                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                               |
|    | <b>IDENTIFICATION</b>                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                               |
| *  | Given Name (s)                                                                                               | Free text field<br>(in cases where the distinction between given and family name is unknown, include here the full name)                                                                                                                                                                                                                                                                                                      |
| ** | Family Name(s)                                                                                               | Free text field<br><br>In principle, full information on both given name and family name shall be included mandatorily and separately.<br><br>In exceptional cases where the identity data on name and family names are not complete or cannot be confirmed, the relevant fields shall nonetheless be filled in with available information, while appropriate caveats and details should be provided in the “Comments” field. |
| ** | Previous name(s)                                                                                             | Free text field                                                                                                                                                                                                                                                                                                                                                                                                               |
| *  | Gender<br><input type="checkbox"/> Female<br><input type="checkbox"/> Male<br><input type="checkbox"/> Other | Enumeration                                                                                                                                                                                                                                                                                                                                                                                                                   |
| ** | Aliases                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                               |

|    |                                                                                                                    |                                                                                                                                                                                                |
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| ** | <input type="checkbox"/> The distinction between name and family name cannot be confirmed                          | Appropriate description shall be included in the “Comments” field.                                                                                                                             |
| ** | Date of birth                                                                                                      | Format <i>DD-MM-YYYY</i>                                                                                                                                                                       |
| ** | Nationality(ies)                                                                                                   | Enumeration                                                                                                                                                                                    |
| ** | Place of Birth – Country                                                                                           | Enumeration                                                                                                                                                                                    |
| ** | Place of Birth - City                                                                                              | Free text field                                                                                                                                                                                |
| ** | Occupation                                                                                                         | Free text field                                                                                                                                                                                |
| ** | Unique Identification Number                                                                                       | Free text field                                                                                                                                                                                |
| ** | Comments                                                                                                           | Free text field<br>(E.g. indications on reliability of ID data; references to other available identifiers such as social security numbers; useful data that can facilitate the identification) |
| ** | Politically Exposed Person (PEP)<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No                    | Enumeration                                                                                                                                                                                    |
| ** | Sanction status:<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No<br>Sanctions list reference: _____ | This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists.<br><br>When the ‘Yes’ is ticked, the the list shall be indicated.                           |

|    |                                                                                                                                                                                                                                         |                                             |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|
| ** | Person involved in an investigation<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No                                                                                                                                      |                                             |
|    | <b>IDENTIFICATION DOCUMENTS</b>                                                                                                                                                                                                         | Add more entities using add entity function |
| ** | Identification Document Type<br><input type="checkbox"/> ID card<br><input type="checkbox"/> Passport<br><input type="checkbox"/> Residence Permit<br><input type="checkbox"/> Work Permit<br><input type="checkbox"/> Other: free text | Enumeration                                 |
| ** | Number                                                                                                                                                                                                                                  | Free text field                             |
| ** | Country of Issuance                                                                                                                                                                                                                     | Enumeration                                 |
| ** | Issuing Authority                                                                                                                                                                                                                       | Free text field                             |
| ** | Date of Issuance                                                                                                                                                                                                                        | Format <i>DD-MM-YYYY</i>                    |
| ** | Expiry date                                                                                                                                                                                                                             | Format <i>DD-MM-YYYY</i>                    |
|    | Additional information                                                                                                                                                                                                                  | Free text field                             |
|    | <b>RESIDENCE</b>                                                                                                                                                                                                                        |                                             |
| ** | Country                                                                                                                                                                                                                                 | Enumeration                                 |
| ** | City                                                                                                                                                                                                                                    | Free text field                             |
| ** | State/province                                                                                                                                                                                                                          | Free text field                             |
| ** | Street                                                                                                                                                                                                                                  | Free text field                             |
| ** | Number                                                                                                                                                                                                                                  | Free text field                             |
| ** | Postcode                                                                                                                                                                                                                                | Free text field                             |
|    | Contacts:                                                                                                                                                                                                                               |                                             |

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                            |
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| ** | <input type="checkbox"/> Telephone (with country code)<br><input type="checkbox"/> E-mail<br><input type="checkbox"/> Webpage (url)<br><input type="checkbox"/> Social media<br><input type="checkbox"/> IP address<br><input type="checkbox"/> IMEI<br><input type="checkbox"/> Other: free text                                                                                                                                                                  |                                            |
|    | <b>LINKAGE (***)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                            |
| ** | Subject to which the natural person is linked                                                                                                                                                                                                                                                                                                                                                                                                                      | Enumeration with the subjects listed above |
| ** | Type of link<br><input type="checkbox"/> Owner<br><input type="checkbox"/> Beneficiary<br><input type="checkbox"/> Manager<br><input type="checkbox"/> Board Member<br><input type="checkbox"/> Authorized signatory<br><input type="checkbox"/> Representative (for legal persons)<br><input type="checkbox"/> Employee<br><input type="checkbox"/> Partner<br><input type="checkbox"/> Family (for natural persons)<br><input type="checkbox"/> Other: free text | Enumeration                                |
|    | Comments: _____                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Free text                                  |

|    |                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|----|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | <b>3.2. LEGAL PERSONS TO WHOM THE RESPONSE REFERS TO (***)</b> | <p>This field has to be filled only if subjects were not already indicated and fully identified in previous exchanges on the same case; if already fully identified, reference to subjects/accounts should be included in the '<i>GENERAL DESCRIPTION-TEXT OF THE RESPONSE</i>'.</p> <p>Data in this section should concern:</p> <ul style="list-style-type: none"> <li>a) the “legal persons to whom the request refers to”, on which feedback is provided (section 3.2 of the template for requests);</li> <li>b) the “other related legal persons” referred to in the request to which feedback is provided (section 3.4 of the template for requests).</li> </ul> |
|    | <b>IDENTIFICATION</b>                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| *  | Company name                                                   | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ** | Previous name(s)                                               | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ** | Trade name                                                     | Free text field – It shall be completed with the name under which the legal entity trades, where this differs from the registered or legal name.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| ** | Entity status                                                  | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ** | Country of registration                                        | Enumeration                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| ** | Date of registration                                           | Format <i>DD- MM-YYYY</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

|    |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                      |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | Date of closure                                                                                                                                                                                                                                                                                                           | Format <i>DD-MM-YYYY</i>                                                                                                                                             |
| ** | Registration number                                                                                                                                                                                                                                                                                                       | Free text field                                                                                                                                                      |
| ** | Legal type                                                                                                                                                                                                                                                                                                                | Free text field                                                                                                                                                      |
| ** | Contact information:<br><input type="checkbox"/> Telephone (with country code)<br><input type="checkbox"/> E-mail<br><input type="checkbox"/> Webpage (url)<br><input type="checkbox"/> Social media<br><input type="checkbox"/> IP address<br><input type="checkbox"/> IMEI<br><input type="checkbox"/> Other: free text |                                                                                                                                                                      |
| ** | Managing Director                                                                                                                                                                                                                                                                                                         | Free text field                                                                                                                                                      |
| ** | Beneficial Owner                                                                                                                                                                                                                                                                                                          | Free text field                                                                                                                                                      |
| ** | Tax Identification Number (TIN)                                                                                                                                                                                                                                                                                           | Free text field                                                                                                                                                      |
| ** | Legal Entity Identifier (LEI)                                                                                                                                                                                                                                                                                             | Free text field                                                                                                                                                      |
| ** | Business Sector                                                                                                                                                                                                                                                                                                           | Free text field                                                                                                                                                      |
| ** | Additional details: _____                                                                                                                                                                                                                                                                                                 | Free text field                                                                                                                                                      |
| ** | Sanction status:<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No<br>Sanctions list reference: _____                                                                                                                                                                                                        | This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists.<br><br>When the 'Yes' is ticked, the the list shall be indicated. |
|    | <b>ADDRESS</b>                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                      |
| ** | Country                                                                                                                                                                                                                                                                                                                   | Enumeration                                                                                                                                                          |
| ** | City                                                                                                                                                                                                                                                                                                                      | Free text field                                                                                                                                                      |
| ** | State/province                                                                                                                                                                                                                                                                                                            | Free text field                                                                                                                                                      |

|    |                                                                                                                                                                                                                                                                                                                                                                                                                          |                                            |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| ** | Street                                                                                                                                                                                                                                                                                                                                                                                                                   | Free text field                            |
| ** | Number                                                                                                                                                                                                                                                                                                                                                                                                                   | Free text field                            |
| ** | Postcode                                                                                                                                                                                                                                                                                                                                                                                                                 | Free text field                            |
| ** | Status:<br><input type="checkbox"/> Current<br><input type="checkbox"/> Previous<br><input type="checkbox"/> Unknown                                                                                                                                                                                                                                                                                                     | Enumeration                                |
|    | <b>LINKAGE (***)</b>                                                                                                                                                                                                                                                                                                                                                                                                     |                                            |
| ** | Subject to which the legal person is linked                                                                                                                                                                                                                                                                                                                                                                              | Enumeration with the subjects listed above |
| ** | Type of link<br><input type="checkbox"/> Owner<br><input type="checkbox"/> Beneficiary<br><input type="checkbox"/> Manager<br><input type="checkbox"/> Board Member<br><input type="checkbox"/> Authorized signatory<br><input type="checkbox"/> Representative<br><input type="checkbox"/> Employee<br><input type="checkbox"/> Partner<br><input type="checkbox"/> Family<br><input type="checkbox"/> Other: free text | Enumeration                                |
|    | Comments: _____                                                                                                                                                                                                                                                                                                                                                                                                          | Free text                                  |

|    |                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|----|------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | <b>3.3. OTHER RELATED SUBJECTS - NATURAL PERSONS (***)</b> | <p>This field shall be filled only if subjects/accounts were not already indicated and fully identified in previous exchanges on the same case; if already fully identified, reference to subjects/accounts should be included in the <i>“GENERAL DESCRIPTION-TEXT OF THE RESPONSE”</i>.</p> <p>Data in this section shall concern natural persons not indicated in the request, that, based on the information gathered and the analyses performed, appear to be also involved in the case (e.g. due to relevant identified links such as business partners, involved in same or connected investigations, co-holders of accounts or other business relationships, legal or beneficial owners in entities involved in the case).</p> |
|    | <b>IDENTIFICATION</b>                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| *  | Given Name (s)                                             | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ** | Family Name(s)                                             | <p>Free text field</p> <p>In principle, full information on both given name and family name shall be included mandatorily and separately.</p> <p>In cases where the responding FIU does not have different data from that (possibly) provided in the request, such data will be included under “given name” and “familyname”.</p> <p>In other cases, where the identity data on name and family names are not complete or</p>                                                                                                                                                                                                                                                                                                         |

|    |                                                                                                              |                                                                                                                                                                                                |
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|    |                                                                                                              | cannot be confirmed, the relevant fields shall nonetheless be filled in with available information, while appropriate caveats and details should be provided in the “Comments” field.          |
| ** | Previous name(s)                                                                                             | Free text field                                                                                                                                                                                |
| ** | Gender<br><input type="checkbox"/> Female<br><input type="checkbox"/> Male<br><input type="checkbox"/> Other | Enumeration                                                                                                                                                                                    |
| ** | Aliases                                                                                                      | Free text field                                                                                                                                                                                |
| ** | <input type="checkbox"/> The distinction between name and family name cannot be confirmed                    | To be ticked in cases where it is not possible to distinguish with sufficient certainty the relevant identity information. Appropriate description shall be included in the “Comments” field.  |
| ** | Date of Birth                                                                                                | Format <i>DD-MM-YYYY</i>                                                                                                                                                                       |
| ** | Nationality(ies)                                                                                             | Enumeration                                                                                                                                                                                    |
| ** | Place of Birth – Country                                                                                     | Enumeration                                                                                                                                                                                    |
| ** | Place of Birth - City                                                                                        | Free text field                                                                                                                                                                                |
| ** | Occupation                                                                                                   | Free text field                                                                                                                                                                                |
| ** | Unique Identification Number                                                                                 | Free text field                                                                                                                                                                                |
|    | Comments                                                                                                     | Free text field<br>(E.g. indications on reliability of ID data; references to other available identifiers such as social security numbers; useful data that can facilitate the identification) |

|    |                                                                                                                                                                                                                                         |                                                                                                                                                                      |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | Politically Exposed Person (PEP)<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No                                                                                                                                         | Enumeration                                                                                                                                                          |
| ** | Sanction status:<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No<br>Sanctions list reference: _____                                                                                                                      | This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists.<br><br>When the 'Yes' is ticked, the the list shall be indicated. |
| ** | Person involved in an investigation<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No                                                                                                                                      |                                                                                                                                                                      |
|    | <b>IDENTIFICATION DOCUMENTS</b>                                                                                                                                                                                                         |                                                                                                                                                                      |
| ** | Identification Document Type<br><input type="checkbox"/> ID card<br><input type="checkbox"/> Passport<br><input type="checkbox"/> Residence Permit<br><input type="checkbox"/> Work Permit<br><input type="checkbox"/> Other: free text | Enumeration                                                                                                                                                          |
| ** | Number                                                                                                                                                                                                                                  | Free text field                                                                                                                                                      |
| ** | Country of Issuance                                                                                                                                                                                                                     | Enumeration                                                                                                                                                          |
| ** | Issuing Authority                                                                                                                                                                                                                       | Free text field                                                                                                                                                      |
| ** | Date of Issuance                                                                                                                                                                                                                        | Format <i>DD-MM-YYYY</i>                                                                                                                                             |
| ** | Expiry date                                                                                                                                                                                                                             | Format <i>DD-MM-YYYY</i>                                                                                                                                             |
|    | Additional information                                                                                                                                                                                                                  | Free text field                                                                                                                                                      |
|    | <b>RESIDENCE</b>                                                                                                                                                                                                                        |                                                                                                                                                                      |
| ** | Country                                                                                                                                                                                                                                 | Enumeration                                                                                                                                                          |

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| ** | City                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Free text field                            |
| ** | State/province                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Free text field                            |
| ** | Street                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Free text field                            |
| ** | Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Free text field                            |
| ** | Postcode                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Free text field                            |
| ** | Contacts: <ul style="list-style-type: none"> <li><input type="checkbox"/> Telephone (with country code)</li> <li><input type="checkbox"/> E-mail</li> <li><input type="checkbox"/> Webpage (url)</li> <li><input type="checkbox"/> Social media</li> <li><input type="checkbox"/> IP address</li> <li><input type="checkbox"/> IMEI</li> <li><input type="checkbox"/> Other: free text</li> </ul>                                                                                                                                                                       |                                            |
|    | <b>LINKAGE (***)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                            |
| ** | Subject to which the natural person is linked                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Enumeration with the subjects listed above |
| ** | Type of link <ul style="list-style-type: none"> <li><input type="checkbox"/> Owner</li> <li><input type="checkbox"/> Beneficiary</li> <li><input type="checkbox"/> Manager</li> <li><input type="checkbox"/> Board Member</li> <li><input type="checkbox"/> Authorized signatory</li> <li><input type="checkbox"/> Representative (for legal persons)</li> <li><input type="checkbox"/> Employee</li> <li><input type="checkbox"/> Partner</li> <li><input type="checkbox"/> Family (for natural persons)</li> <li><input type="checkbox"/> Other: free text</li> </ul> | Enumeration                                |
|    | Comments: _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Free text                                  |

|    |                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----|----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | <b>3.4. OTHER RELATED SUBJECTS - LEGAL PERSONS (***)</b> | <p>This field has to be filled only if subjects were not already indicated and fully identified in previous exchanges on the same case; if already fully identified, reference to subjects/accounts should be included in the <i>GENERAL DESCRIPTION-TEXT OF THE RESPONSE</i>.</p> <p>Data in this section shall concern legal persons not indicated in the request, that, based on the information gathered and the analyses performed appear to be also involved in the case (e.g. due to relevant identified links such as business partners, involved in same or connected investigations, co-holders of accounts or other business relationships, legal or beneficial owners in entities involved in the case).</p> |
|    | <b>IDENTIFICATION</b>                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| *  | Company name                                             | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| ** | Previous name(s)                                         | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| ** | Trade name                                               | Free text field – It shall be completed with the name under which the legal entity trades, where this differs from the registered or legal name.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| ** | Entity status                                            | Free text field                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| ** | Country of registration                                  | Enumeration                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

|    |                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                      |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | Date of registration                                                                                                                                                                                                                                                                                           | Format <i>DD- MM-YYYY</i>                                                                                                                                            |
| ** | Date of closure                                                                                                                                                                                                                                                                                                | Format <i>DD-MM-YYYY</i>                                                                                                                                             |
| ** | Registration number                                                                                                                                                                                                                                                                                            | Free text field                                                                                                                                                      |
| ** | Legal type                                                                                                                                                                                                                                                                                                     | Free text field                                                                                                                                                      |
| ** | Contacts:<br><input type="checkbox"/> Telephone (with country code)<br><input type="checkbox"/> E-mail<br><input type="checkbox"/> Webpage (url)<br><input type="checkbox"/> Social media<br><input type="checkbox"/> IP address<br><input type="checkbox"/> IMEI<br><input type="checkbox"/> Other: free text |                                                                                                                                                                      |
| ** | Managing Director                                                                                                                                                                                                                                                                                              | Free text field                                                                                                                                                      |
| ** | Beneficial Owner                                                                                                                                                                                                                                                                                               | Free text field                                                                                                                                                      |
| ** | Tax Identification Number (TIN)                                                                                                                                                                                                                                                                                | Free text field                                                                                                                                                      |
| ** | Legal Entity Identifier (LEI)                                                                                                                                                                                                                                                                                  | Free text field                                                                                                                                                      |
| ** | Business Sector                                                                                                                                                                                                                                                                                                | Free text field                                                                                                                                                      |
| ** | Additional details: _____                                                                                                                                                                                                                                                                                      | Free text field                                                                                                                                                      |
| ** | Sanction status:<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No<br>Sanctions list reference: _____                                                                                                                                                                                             | This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists.<br><br>When the 'Yes' is ticked, the the list shall be indicated. |
|    | <b>ADDRESS</b>                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                      |

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                            |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|
| ** | Country                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Enumeration                                |
| ** | City                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Free text field                            |
| ** | State/province                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Free text field                            |
| ** | Street                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Free text field                            |
| ** | Number                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Free text field                            |
| ** | Postcode                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Free text field                            |
| ** | Status:<br><input type="checkbox"/> Current<br><input type="checkbox"/> Previous<br><input type="checkbox"/> Unknown                                                                                                                                                                                                                                                                                                                                               | Enumeration                                |
|    | <b>LINKAGE (***)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                            |
| ** | Subject to which the Legal Person is linked                                                                                                                                                                                                                                                                                                                                                                                                                        | Enumeration with the subjects listed above |
| ** | Type of link<br><input type="checkbox"/> Owner<br><input type="checkbox"/> Beneficiary<br><input type="checkbox"/> Manager<br><input type="checkbox"/> Board Member<br><input type="checkbox"/> Authorized signatory<br><input type="checkbox"/> Representative (for legal persons)<br><input type="checkbox"/> Employee<br><input type="checkbox"/> Partner<br><input type="checkbox"/> Family (for natural persons)<br><input type="checkbox"/> Other: free text | Enumeration                                |
|    | Comments: _____                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Free text                                  |

## SECTION 4 – ACCOUNTS OR OTHER BUSINESS RELATIONSHIPS

This section shall be filled in with information on accounts or other business relationships indicated in the request as well as on other identified in processing the case.

|    | Fields/Types of information                                                                                                                                                                                                      | Description                                                                                                                                                                 |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ** | <b>ACCOUNTS OR OTHER BUSINESS RELATIONSHIPS (***)</b>                                                                                                                                                                            | Information on accounts or other types of relationships that are either held by or otherwise associated to persons involved, or are relevant for the case and its analysis. |
| *  | Type of the relationship                                                                                                                                                                                                         | Free text field                                                                                                                                                             |
| *  | Natural or legal person to which the account or the business relationship is linked                                                                                                                                              | Enumeration indicating the subjects listed in the sections above and residual option ' <i>unknown</i> '.                                                                    |
| *  | Type of link<br><input type="checkbox"/> Holder<br><input type="checkbox"/> Authorised signer<br><input type="checkbox"/> Beneficial Owner<br><input type="checkbox"/> Representative<br><input type="checkbox"/> Other: specify | Enumeration                                                                                                                                                                 |
| ** | Country code                                                                                                                                                                                                                     | Enumeration                                                                                                                                                                 |
| ** | Institution type                                                                                                                                                                                                                 | Free text field                                                                                                                                                             |
| ** | Institution name                                                                                                                                                                                                                 | Free text field                                                                                                                                                             |

|    |                                                                                                                                                                                                                                                                                                                                                                          |                                 |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| ** | Institution code                                                                                                                                                                                                                                                                                                                                                         | Free text field                 |
| ** | Branch name                                                                                                                                                                                                                                                                                                                                                              | Free text field                 |
| ** | Branch code                                                                                                                                                                                                                                                                                                                                                              | Free text field                 |
| ** | Account number                                                                                                                                                                                                                                                                                                                                                           | Free text field                 |
| ** | Account type (name)                                                                                                                                                                                                                                                                                                                                                      | Free text field                 |
| ** | IBAN                                                                                                                                                                                                                                                                                                                                                                     | Free text field                 |
|    | SWIFT/BIC                                                                                                                                                                                                                                                                                                                                                                | Free text field                 |
|    | Currency                                                                                                                                                                                                                                                                                                                                                                 | Enumeration                     |
|    | Balance of the account                                                                                                                                                                                                                                                                                                                                                   | Free text field                 |
|    | Date of balance                                                                                                                                                                                                                                                                                                                                                          | Format DD-MM-YYYY               |
|    | Balance in Euro                                                                                                                                                                                                                                                                                                                                                          | Free text field                 |
|    | Exchange rate                                                                                                                                                                                                                                                                                                                                                            | Free text field                 |
| ** | Status of the account or the relationship<br><input type="checkbox"/> <b>Open</b><br>Date:<br>Additional info: free text<br><input type="checkbox"/> <b>Closed</b><br>Date:<br>Additional info: free text<br><input type="checkbox"/> <b>Temporarily suspended</b><br>Date:<br>Reason and additional info: free text<br><input type="checkbox"/> <b>Other:</b> free text | Enumeration                     |
| ** | Comments                                                                                                                                                                                                                                                                                                                                                                 | Free text field (balance, etc.) |

## SECTION 5 – TRANSACTIONS

This section shall be filled with structured data on transactions when such data is available and relevant to the request.

|  | Fields/Types of information | Description |
|--|-----------------------------|-------------|
|--|-----------------------------|-------------|

| **                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | TRANSACTIONS (***) |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |      |        |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------|------------------|-------------------------|----------------------|---------------------|--------|---------|-------------|---------------------|--------|-------------|--|------|--------|-----------------------------|------------------|-------------------------|----------------------|---------------------|--------|---------|-------------|---------------------|--------|-------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|--|--|--|--|--|
| <p>Type of transaction:</p> <div style="margin-left: 20px;"> <input type="checkbox"/> Deposit<br/> <input type="checkbox"/> Withdrawal<br/> <input type="checkbox"/> Transfer<br/> <input type="checkbox"/> Remittance<br/> <input type="checkbox"/> Purchase/sale of securities<br/> <input type="checkbox"/> Other: free text         </div> <p>Type of obliged entity: free text</p> <p>The following data should be provided, as relevant depending on the type of transactions:</p> <p><b>** Transfers</b></p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr style="background-color: #f2f2f2;"> <th></th><th></th><th></th><th></th><th></th><th colspan="4">ORIGIN</th><th colspan="4">DESTINATION</th> </tr> <tr style="background-color: #f2f2f2;"> <th>Date</th><th>Amount</th><th>Currency/<br/>cryptocurrency</th><th>Amount<br/>in EUR</th><th>Transaction<br/>ID/ Hash</th><th>Ordering<br/>customer</th><th>Account/<br/>address</th><th>Entity</th><th>Country</th><th>Beneficiary</th><th>Account/<br/>address</th><th>Entity</th><th>Count<br/>ry</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <p style="margin-top: 10px;">Description/details of the transfer: _____</p> |                    |                             |                  |                         |                      | ORIGIN              |        |         |             | DESTINATION         |        |             |  | Date | Amount | Currency/<br>cryptocurrency | Amount<br>in EUR | Transaction<br>ID/ Hash | Ordering<br>customer | Account/<br>address | Entity | Country | Beneficiary | Account/<br>address | Entity | Count<br>ry |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <p>The two tables are dedicated respectively to transfers (including for crypto assets) and to other types of transactions. For each transaction (row), the available information must be included in the corresponding field, leaving the other fields in the row empty (or marked as “Unknown”).</p> <p>When translated into an IT procedure, a unique “table” is provided for all types of transactions, to be filled in as appropriate, depending on the type of activity and the information available.</p> <p>(1) The subjects indicated in the tables must be duly identified in the sections above (nn. ...).</p> |  |  |  |  |  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                    |                             |                  |                         | ORIGIN               |                     |        |         | DESTINATION |                     |        |             |  |      |        |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |  |  |  |  |  |  |  |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Amount             | Currency/<br>cryptocurrency | Amount<br>in EUR | Transaction<br>ID/ Hash | Ordering<br>customer | Account/<br>address | Entity | Country | Beneficiary | Account/<br>address | Entity | Count<br>ry |  |      |        |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                    |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |      |        |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |  |  |  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                    |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |      |        |                             |                  |                         |                      |                     |        |         |             |                     |        |             |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |  |  |  |  |  |  |  |

|  |                           |               |                                     |                          |                                |  |  |
|--|---------------------------|---------------|-------------------------------------|--------------------------|--------------------------------|--|--|
|  | <b>Other transactions</b> |               |                                     |                          |                                |  |  |
|  | <b>Date</b>               | <b>Amount</b> | <b>Currency/crypto<br/>currency</b> | <b>Amount<br/>in EUR</b> | <b>Transaction<br/>ID/Hash</b> |  |  |
|  |                           |               |                                     |                          |                                |  |  |
|  |                           |               |                                     |                          |                                |  |  |
|  |                           |               |                                     |                          |                                |  |  |

## SECTION 6 – TEXT OF THE RESPONSE

|   | Fields/Types of information                    | Description                                                                                                                                                                                                              |
|---|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|   | <b>GENERAL DESCRIPTION - TEXT OF THE REPLY</b> |                                                                                                                                                                                                                          |
| * | <hr/> <hr/> <hr/> <hr/> <hr/>                  | Free text with overview of the case with references to findings and their synthetic description, emphasizing the links with the request (e.g. suspicions, roles of subjects and their activities, investigations, etc.); |

## SECTION 7 – INFORMATION

All the fields in this Section are marked with \*\*, because the responding FIU must complete them only when ticked in the request by the requesting FIU under Section 10 (*‘Information requested’*).

|  | Fields/Types of information | Description |
|--|-----------------------------|-------------|
|--|-----------------------------|-------------|

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                    |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|    | <b>7.1. RELEVANT REPORTS OF SUSPICIONS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                    |
| ** | <input type="checkbox"/> Searches in our report database have produced no results.<br><br><input type="checkbox"/> Searches in our report database have produced the following results: <ul style="list-style-type: none"> <li>• Type of reporting entity:</li> <li>• Period of operations:</li> <li>• Amount of funds involved:</li> </ul> Description of other relevant information: _____                                                                                                                                                                                                                                                                                            | Description of suspicious transactions/activities detected.<br>Description of the information.<br>Emphasis on possible matches and data that can confirm or better clarify the case and the suspicious activities. |
|    | <b>7.2. THRESHOLD-BASED DISCLOSURES</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                    |
| ** | <input type="checkbox"/> Searches in our threshold-based disclosures database have produced no results.<br><br><input type="checkbox"/> Searches in our threshold-based disclosures database have produced the following results: <ul style="list-style-type: none"> <li>• Types of funds or assets (e.g. cash, ...): free text.</li> <li>• Types of transactions (e.g. deposit/withdrawal, wire transfer, ...): free text.</li> <li>• Amounts or value of transactions or funds/assets: free text.</li> <li>• Dates/timeframe: free text – format DD-MM-YYYY.</li> <li>• Counterparts (if applicable/relevant): free text.</li> </ul> Description of other relevant information: _____ | Description of threshold-based transactions.                                                                                                                                                                       |
|    | <b>7.3. COMPANY INFORMATION</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                    |

|                                                                                        |                                                                                                                                                                 |                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| **                                                                                     | <input type="checkbox"/> Searches have produced no results.<br><br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with additional explanation about: ownership; positions; type of companies, entities or trusts; assets and purposes of trusts; etc.                                                                                                            |
| <b>7.4. FINANCIAL INFORMATION AND OTHER INFORMATION OBTAINED FROM OBLIGED ENTITIES</b> |                                                                                                                                                                 |                                                                                                                                                                                                                                                          |
| **                                                                                     | <input type="checkbox"/> Searches have produced no results.<br><br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with references to and description of transactions, accounts or any other element obtained, e.g., from obliged entities with attachments with structured information, when relevant (e.g. statements of accounts, lists or remittances, etc.). |
| <b>7.5. CENTRAL BANK ACCOUNTS REGISTER</b>                                             |                                                                                                                                                                 |                                                                                                                                                                                                                                                          |
| **                                                                                     | <input type="checkbox"/> Searches have produced no results.<br><br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with data retrieved on banking or other financial relationships.                                                                                                                                                                               |
| <b>7.6. REAL ESTATE INFORMATION</b>                                                    |                                                                                                                                                                 |                                                                                                                                                                                                                                                          |
| **                                                                                     | <input type="checkbox"/> Searches have produced no results.<br><br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with data on real estate properties (e.g. type, value and location of properties; dates of purchase or sell; ...).                                                                                                                             |

|    |                                                                                                                                                             |                                                                                                                         |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
|    | <b>7.7. TAX/CUSTOM DATA</b>                                                                                                                                 |                                                                                                                         |
| ** | <input type="checkbox"/> Searches have produced no results.<br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with data on taxable income or profits, taxes and duties.                                                     |
|    | <b>7.8. LAW ENFORCEMENT AGENCIES (LEAs) / CRIMINAL DATA</b>                                                                                                 |                                                                                                                         |
| ** | <input type="checkbox"/> Searches have produced no results.<br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with information on investigations, legal proceedings, mutual legal assistance requests, criminal records.    |
|    | <b>7.9. INFORMATION FROM OTHER AUTHORITIES</b>                                                                                                              |                                                                                                                         |
| ** | <input type="checkbox"/> Searches have produced no results.<br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Free text with information obtained from other authorities (e.g. LEAs, prosecutors, supervisors, ...).                  |
|    | <b>7.10. OTHER DATA</b>                                                                                                                                     |                                                                                                                         |
| ** | <input type="checkbox"/> Searches have produced no results.<br><input type="checkbox"/> Searches have produced the following results:<br>Description: _____ | Additional data available (e.g. physical cross-border transportation of cash and valuables; transactions in gold, ...). |

|   | 7.11 DESCRIPTION AND COMMENTS: |                                                                                                                                                                                                                |
|---|--------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * | Description: _____             | The responding FIU shall specify the searches carried out in relation to the information requested and shall provide a brief explanation in cases where any of the requested searches have not been performed. |

| <b>SECTION 8 – LINK TO OTHER EXCHANGES OR OTHER AGENCIES/COUNTRIES</b> |                                                                |                                                                                                                                                                  |
|------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                        | Fields/Types of information                                    | Description                                                                                                                                                      |
|                                                                        | 8.1. REFERENCE TO OTHER EXCHANGES, PAST OR ONGOING             |                                                                                                                                                                  |
| **                                                                     | Description: _____                                             | Free text describing previous related exchanges with the same counterpart or with other FIUs or foreign agencies, including the corresponding reference numbers. |
|                                                                        | 8.2. OTHER AGENCIES/COUNTRIES THAT HAVE KNOWLEDGE OF THIS CASE |                                                                                                                                                                  |

|    |                    |  |
|----|--------------------|--|
| ** | Description: _____ |  |
|----|--------------------|--|

### **SECTION 9 – REQUEST FOR INFORMATION**

To be filled in cases where the FIU providing information needs additional details on the case or consent regarding the information in the request. In cases where more information is sought, the template for Requests should be used.

|    | Fields/Types of information                                                                                                                                                                                            | Description                                                                                                                                 |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
|    | <b>9.1 INFORMATION REQUESTED (BY THE RESPONDING FIU)</b>                                                                                                                                                               |                                                                                                                                             |
| ** | <input type="checkbox"/> Urgent<br><br>Reasons for urgency: _____<br><br>Reply requested by: _____                                                                                                                     | If the box “ <i>Urgent</i> ” is ticked, text must be added to explain the “ <i>Reasons</i> ” and the expected timeframe for the response.   |
| ** | We request further information regarding the following:<br><input type="checkbox"/> subjects: _____<br><input type="checkbox"/> accounts/business relationships: _____<br><input type="checkbox"/> transactions: _____ | This subsection shall be used in cases where the responding FIU needs additional or more precise details on the information in the request. |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                               |
|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <input type="checkbox"/> link with our FIU<br><input type="checkbox"/> ML/TF suspicion<br><input type="checkbox"/> other: _____<br><br>Description: _____<br>Reason of the request: _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | In cases where the responding FIU seeks information on the broader case or on associated elements (persons, transactions), the template shall link to the format dedicated to “Requests”.<br><br>The information needed shall be clearly specified together with the underlying reasons and the expected use. |
|  | <p><b>9.2 REQUEST FOR CONSENT (one option among 9.2.1, 9.2.2, 9.2.3 and 9.3 shall be chosen)</b></p> <p>To ensure a correct understanding of the terminology used throughout the document, the following definitions apply:</p> <p><b>‘intelligence purposes’</b> means the use of the information by any competent authority, as defined by Article 2(44) of the Regulation (EU) 2024/1624, for the purposes of analysing and processing it in order to identify possible money laundering, terrorist financing or related criminal risks.</p> <p><b>‘investigative purposes’</b> means the use of information for the purposes of investigating or assisting prosecutions related to money laundering, its predicate offences or terrorist financing, or for tracing, seizing or freezing and confiscating criminal assets but not for use as evidence.</p> |                                                                                                                                                                                                                                                                                                               |

|   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| * | <p>We request the following type of <b>consent to disseminate</b> the information requested:</p> <p><input type="checkbox"/> <b>9.2.1 General consent:</b> the information provided by you may be disseminated to any competent authority as defined in Article 2(44)(c) and (d) AMLR, including Law Enforcement Authorities, of our country, as well as to the European Public Prosecutor's Office (EPPO) and European Anti-Fraud Office (OLAF), where competent, and to the relevant foreign FIUs expressly identified in the exchange.</p> <p><input type="checkbox"/> <b>9.2.2 Restricted consent (internal use - no dissemination):</b> information in this document may be used only within the receiving FIU with no possibility to disseminate it to any third party.</p> <p><input type="checkbox"/> <b>9.2.3 Specific consent to disseminate to third parties (it is possible to choose more than one option)</b> - the information you provide may be shared with:</p> <ul style="list-style-type: none"> <li><input type="radio"/> Our competent Law Enforcement Authorities (LEAs)</li> <li><input type="radio"/> The following competent authority(ies) as defined in Article 2(44) (c) and (d) AMLR:<br/>_____</li> <li><input type="radio"/> The following entities subject to national AML/CFT legislation (elements strictly necessary to respond to a request for information):<br/>_____</li> <li><input type="radio"/> The following authority(ies) deemed appropriate:<br/>_____</li> </ul> <p>Reasons: _____</p> <ul style="list-style-type: none"> <li><input type="radio"/> The following FIU(s): [drop down menu with country codes with possibility to select more than one].</li> </ul> |  |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

|          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                      |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|          | <p>We request the following type of <b>consent to use</b> the information requested (it is possible to choose more than one option):</p> <p><input type="checkbox"/> Intelligence purposes</p> <p><input type="checkbox"/> Investigative purposes</p> <p><input type="checkbox"/> To prepare a Mutual Legal Assistance request</p> <p><input type="checkbox"/> As evidence in legal proceedings</p> <p><input type="checkbox"/> Additional use (specify): _____</p> |                                                                                                                                                                                                                                                                                        | <p>The indication of the use of the information shall always be completed, if one option among 9.2.1, 9.2.2, 9.2.3 is chosen.</p>                                                                                                                                                                                    |
|          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                      |
| <p>*</p> | <p><input type="checkbox"/></p>                                                                                                                                                                                                                                                                                                                                                                                                                                     | <p><b>9.3. PRIOR ASSUMPTION OF CONSENT</b></p>                                                                                                                                                                                                                                         | <p>When 9.3 is chosen, the requested consent is considered embedded in the reply – unless it is made explicit or the counterpart clearly states otherwise in their response. The FIU shall also provide an explanation of the reasons for which it intends to share the information with the specific authority.</p> |
|          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <p>Please note that the information you may provide us with in response to this request will be shared with the following authorities unless you explicitly indicate otherwise.</p> <p>Authorities: _____</p> <p>Use of the information: _____</p> <p>Reasons/Justification: _____</p> |                                                                                                                                                                                                                                                                                                                      |

## SECTION 10 – CONDITIONS FOR USE OR DISSEMINATION

To ensure a correct understanding of the terminology used throughout the document, the following definitions apply:

**‘intelligence purposes’** means the use of the information by any competent authority, as defined by Article 2(44) of the Regulation (EU) 2024/1624, for the purposes of analysing and processing it in order to identify possible money laundering, terrorist financing or related criminal risks.

**‘investigative purposes’** means the use of information for the purposes of investigating or assisting prosecutions related to money laundering, its predicate offences or terrorist financing, or for tracing, seizing or freezing and confiscating criminal assets but not for use as evidence.

|   | Fields/Types of information                                                                                                                                                                                                                                                                                                                                                                                                                           | Description                                                                                                                                                                                                                                                                                                                                                  |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| * | <div>EXTENT OF THE CONSENT TO DISSEMINATE<br/>(one option among 1, 2, 3 and 4 shall be chosen)</div>                                                                                                                                                                                                                                                                                                                                                  | <p>Option 1 (<i>Assumption of consent</i>) applies by default when the requesting FIU has selected “<i>Prior Assumption of Consent</i>” (11.3 of the Request for information template) in its request.</p> <p>Option 2 (General consent) applies by default in all other cases.</p> <p>Option 3 and 4 shall be expressly selected by the responding FIU.</p> |
|   | <div>1. <input type="checkbox"/> <b>Prior assumption of consent requested</b></div>                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                              |
|   | <div>2. <input type="checkbox"/> <b>General consent</b><br/>The information may be disseminated to any competent authority as defined in Article 2(44)(c) and (d) AMLR, including Law Enforcement Authorities, of the country of the recipient FIU, as well as to the European Public Prosecutor’s Office (EPPO) and European Anti-Fraud Office (OLAF), where competent, and to the relevant foreign FIUs expressly identified in the exchange.</div> |                                                                                                                                                                                                                                                                                                                                                              |

|  |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |
|--|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  | 3. <input type="checkbox"/> | <p><b><u>Restricted consent (internal use - no dissemination)</u></b></p> <p>The information in this document may be used only within the receiving FIU with no possibility to disseminate it to any third party.</p> <p>Reasons: _____</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |
|  | 4. <input type="checkbox"/> | <p><b><u>Specific consent to disseminate to third parties (it is possible to choose more than one option)</u></b></p> <p><b>The information in this document may be shared with:</b></p> <p>4.1.1 <input type="checkbox"/> Your competent Law Enforcement Authorities (LEAs)</p> <p>4.1.2 <input type="checkbox"/> The following competent authority(ies) as defined in Article 2(44) (c) and (d) AMLR: _____</p> <p>4.1.3 <input type="checkbox"/> The following entities subject to national AML/CFT legislation (elements strictly necessary to respond to a request for information):<br/>_____</p> <p>4.1.4 <input type="checkbox"/> The following authority(ies) deemed appropriate:<br/>_____<br/>Reasons: _____</p> <p>4.1.5 <input type="checkbox"/> The following FIU(s): [enumeration with country codes with possibility to select more than one]</p> |  |

|  |          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |
|--|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <p>*</p> | <div data-bbox="472 496 1485 571"> <b>Conditions (applicable to General consent (2) and Specific consent (4))</b> </div> <div data-bbox="472 571 1485 1106"> <p><input type="checkbox"/> No conditions apply to the consent for dissemination of the information</p> <p><input type="checkbox"/> Without mentioning the origin of this information</p> <p><input type="checkbox"/> Without sharing the documents or attachments transmitted</p> <p><input type="checkbox"/> Further dissemination is permitted under the same conditions and extent as the original consent granted</p> </div> | <p>This field must be completed only when <i>'General consent'</i> and <i>'Specific consent'</i> are selected. The option indicating the absence of any such restrictions constitutes the default choice and shall be pre-selected.</p> |
|--|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                 |                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                           |
|-------------------------------------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                 | <p style="text-align: center;">*</p>      | <div style="border: 1px solid black; padding: 5px;"> <p><b>EXTENT OF THE CONSENT TO USE OF THE INFORMATION</b></p> <p>The information in this document may be used for (it is possible to choose more than one option):</p> <p><input type="checkbox"/> Intelligence purposes</p> <p><input type="checkbox"/> Investigative purposes</p> <p><input type="checkbox"/> To prepare a Mutual Legal Assistance request</p> <p><input type="checkbox"/> As evidence in legal proceedings</p> <p><input type="checkbox"/> Additional use (specify): _____</p> </div> | <p>This field must be completed only when 'General consent' and 'Specific consent' are selected. The option indicating the absence of any such restrictions constitutes the default choice and shall be pre-selected.</p> |
| <p><b>SECTION 11 – REQUEST FOR FEEDBACK</b></p> |                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                           |
|                                                 | <p><b>Fields/Types of information</b></p> | <p><b>Description</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                           |

|                                 |                                                                                                              |                                                                                 |
|---------------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
|                                 | Description: _____                                                                                           | Free text description                                                           |
| <b>SECTION 12 – ATTACHMENTS</b> |                                                                                                              |                                                                                 |
|                                 | <b>Fields/Types of information</b>                                                                           | <b>Description</b>                                                              |
|                                 | Attachment 1 description: _____<br>Attachment 2 description: _____<br>Attachment 3 description: _____<br>... | Attachments shall be referenced here<br>with short description of the contents. |