

CROSS-BORDER DISSEMINATION

Fields marked with * are mandatory, and must always be completed, while fields marked with ** are conditional mandatory and must be completed only when relevant or when the related information is available to the disclosing FIU. Fields marked with *** shall be replicated as necessary on a case-by-case basis.

SECTION 1 – XBD DETAILS		
	Fields/Types of information	Description
*	Owner:	Name of the sending FIU
*	XBD name: _____ XBD Refence: _____ Original report reference: _____	
**	Urgency: Reasons for urgency: _____	
*	Report Type:	Type of the original report (STR/SAR)
*	Reporting Entity Type: _____	
*	Report indicators selected by the reporting entity: _____ Report Key words: _____	
*	Report indicators selected by sending FIU: _____	

	Possible predicate offence: _____	
*	Cross-border dimension – number of Member State receiving the XBD:	It shall be indicated the number of Member States that received the XBD
**	Concerned Member States receiving the XBD:	Enumeration with list of countries – more than one possible option if the previous field has a value >1. It shall be indicated the Member State(s) to which the XBD is forwarded.
**	Concerned third Countries:	Enumeration
*	Also sent as an XBR: ☐ Yes ☐ No	Enumeration
**	Country receiving XBR/Home Member State:	Mandatory if the answer to the previous field is 'Yes'.

Section 3 – SUBJECT

In this Sections at least one of the fields among 3.1 or 3.2 shall be filled in. These fields are marked as mandatory because at least one of them is required; however, completing only one of the two is sufficient.

	Fields/Types of information	Description
*	3.1. NATURAL PERSONS (***)	
	IDENTIFICATION	
**	Given Name (s)	Free text field (in cases where the distinction between given and family name is unknown, include here the full name)

**	Family Name(s)	Free text field In principle, full information on both given name and family name should be included mandatorily and separately. In exceptional cases where the identity data on name and family names are not complete or cannot be confirmed, the relevant fields should nonetheless be filled in with available information, while appropriate caveats and details should be provided in the “Comments” field.
**	Previous name(s)	Free text field
**	Gender ☐ Female ☐ Male ☐ Other	Enumeration
	Aliases	
**	Date of birth	Format <i>DD-MM-YYYY</i>
**	Nationality(ies)	Enumeration

**	Place of birth – Country	Enumeration
**	Place of birth - City	Free text field
**	Occupation	Free text field
**	Unique Identification Number	Free text field
	IDENTIFICATION DOCUMENTS	
**	Identification Document Type ☐ ID card ☐ Passport ☐ Residence Permit ☐ Work Permit ☐ Other: free text	Enumeration
**	Number	Free text field
**	Country of Issuance	Enumeration
**	Issuing Authority	Free text field
**	Date of Issuance	Format <i>DD-MM-YYYY</i>
**	Expiry date	Format <i>DD-MM-YYYY</i>
	Additional information	Free text field
	RESIDENCE	
**	Country	Enumeration
**	City	Free text field
**	State/province	Free text field
**	Street	Free text field
**	Number	Free text field

**	Postcode	Free text field
**	Contacts: ☐ Telephone (with country code) ☐ E-mail ☐ Webpage (url) ☐ Social media ☐ IP address ☐ IMEI ☐ Other: free text	Enumeration
**	Hit against lists of politically exposed persons (PEPs) ☐ Yes ☐ No	Enumeration
*	SELECTION CRITERIA	
*	Member State linked to the subject:	Enumeration with the list of Member States indicated in Section 1 (<i>'Concerned Member States receiving the XBD'</i>)
*	Link with the Member State: ☐ Primary subject ☐ country where the natural person is known to be subject in criminal investigation; ☐ country of residence; ☐ nationality; ☐ country of birth; ☐ country where the ID document was issued. ☐ Asset related to the primary subject held in the Member State receiving the XBD	The selection criteria on the basis of which the XBD is sent to another Member State shall be indicated by ticking the corresponding field. When <i>'Primary subject'</i> is selected, at least one of its sub-categories must also be ticked.

	☐ Match hit	
**	NEGATIVE INFORMATION	
**	Negative Info Type: ☐ Criminal investigation ○ Request type (enumeration): ☐ Law Enforcement Request ☐ Freezing order ☐ Other: specify ○ Request reference: (free text) ○ Criminal investigation country: (enumeration) ○ Criminal investigation Service: (free text) ○ Contact info: (free text) ○ Comments: (free text) ☐ Hit on sanction list ○ Sanction type: free text ○ Date: free text (DD-MM-YYYY) ○ Source: (free text) ○ Country (enumeration) ○ Comment (free text) ☐ Hit on a crime list ☐ Adverse media ○ Adverse media type (free text) ○ Date: free text (DD-MM-YYYY) ○ Source: (free text) ○ Country (enumeration) ○ Comment (free text) Other comment: _____	More than one option can be selected. For each option selected (Criminal investigation, Hit on sanctions list, Hit on crime list, or Adverse media), the corresponding information must be provided.

	URL: _____ Link with attachment: _____	
*	3.2. LEGAL PERSONS (***)	
	IDENTIFICATION	
**	Company name	Free text field
**	Entity status	Free text field
**	Trade name	Free text field
**	Country of registration	Choose from drop down menu

**	Date of registration	Format <i>DD- MM-YYYY</i>
**	Date of closure	Format <i>DD-MM-YYYY</i>
**	Registration number	Free text field
**	Legal type	Free text field
**	Contacts: ☐ Telephone (with country code) ☐ E-mail ☐ Webpage (url) ☐ Social media ☐ IP address ☐ IMEI ☐ Other: free text	Free text field
**	Managing Director	Free text field
**	Beneficial Owner	Free text field
**	Tax Identification Number (TIN)	Free text field
**	Legal Entity Identifier (LEI)	Free text field
**	Business Sector	Free text field
**	Additional details:_____	Free text field
	ADDRESS	
**	Country	Enumeration
**	City	Free text field
**	State/province	Free text field

**	Street	Free text field
**	Number	Free text field
**	Postcode	Free text field
**	Status: ☐ Current ☐ Previous ☐ Unknown	Enumeration
*	SELECTION CRITERIA	
*	Member State linked to the subject:	Enumeration with the list of Member States indicated in Section 1 (' <i>Concerned Member States receiving the XBD</i> ')
*	Link with the Member State: ☐ Primary subject ☐ country where the legal person is known to be subject in criminal or administrative investigation; ☐ country of operation; ☐ country of registration; ☐ country of establishment. ☐ Asset related to the primary subject held in the Member State receiving the XBD ☐ Match hit	The selection criteria on the basis of which the XBD is sent to another Member State shall be indicated by ticking the corresponding field. When 'Primary subject' is selected, at least one of its sub-categories must also be ticked.
**	NEGATIVE INFORMATION	
	Negative Info Type:	

**	☐ Criminal investigation - Request type (enumeration): ☐ Law Enforcement Request ☐ Freezing order ☐ Other: specify - Request reference: (free text) - Criminal investigation country: (enumeration) - Criminal investigation Service: (free text) - Contact info: (free text) - Comments: (free text) ☐ Hit on sanction list - Sanction type: free text - Date: free text (DD-MM-YYYY) - Source: (free text) - Country (enumeration) - Comment (free text) ☐ Hit on a crime list ☐ Adverse media - Adverse media type (free text) - Date: free text (DD-MM-YYYY) - Source: (free text) - Country (enumeration) - Comment (free text) Other comment: _____	More than one option can be selected. For each option selected (Criminal investigation, Hit on sanctions list, Hit on crime list, or Adverse media), the corresponding information must be provided.
	URL: _____ Link with attachment: _____	

SECTION 4 – TRANSACTIONS

	Fields/Types of information	Description
**	TRANSACTIONS (*)	
**	Total number of transactions:	Free text field
**	Number of transactions per Member State: • Member State 1: • Member State 2:	Free text – indication of number of transactions related to each Member State that received the XBD
**	Total amount of transactions: _____	
**	Amount of transaction(s) per Member State: • Member State 1: • Member State 2:	Enumeration of the Member States receiving the XBD as listed in Section 1. Free text with the indication of the amount of transaction(s) per Member State.