

PROVISION OF FEEDBACK

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SECTION 1 – EXCHANGES DETAILS.....3

SECTION 2 – FEEDBACK4

Fields marked with * are mandatory, and must always be completed, while fields marked with ** are conditional mandatory and must be completed only when relevant or when the related information is available to the disclosing FIU. Fields marked with *** shall be replicated as necessary on a case-by-case basis.

SECTION 1 – EXCHANGES DETAILS		
	Fields/Types of information	Description
*	From FIU(s): Name: _____ Jurisdiction: _____ To FIU(s): Name: _____ Jurisdiction: _____	The field identifies the FIU (or FIUs) that were part of the exchange to which the feedback refers to. “From FIU(s)”: data of the FIU(s) that has sent the information on which feedback is provided. “To FIUs”: data of the FIU(s) that received the information to which feedback is provided (this should include the FIU providing the feedback).
*	Reference number: _____	Reference number identifying the feedback that is provided. This should be a unique identifier allowing to trace the exchange back to each FIU's case file.
*	Date of the information received: _____	Date when the information to which feedback is provided has been received (Format DD-MM-YYYY).
*	Reference number of the information received: _____	Reference number of the communications containing the information to which the feedback refers to.
*	Date of the feedback: _____	Date of the feedback that is being provided (to be filled in automatically).

	FIU contact person: _____	FIUs might optionally provide the contact details of an FIU officer that can be approached.
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SECTION 2 – FEEDBACK		
	Fields/Types of information	Description
*	Use: _____	Describe how the information received has been or will be used, taking account of the indications on the same matter provided in the request (e.g. for analysis on transfers or on beneficial ownership, for supporting other agencies' investigations).
*	Timeliness of the response: 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ Details: _____	The feedback on timeliness should be applicable for responses and should be commensurate to possible indications on the relevant timeframe specified in the request. The scale with five notches ranges from 1 ("very low") to 5 ("very high"). Provide details on the reasons underlying the assigned "rating".
*	Completeness of the information received: 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ Details: _____	The scale with five notches ranges from 1 ("very low") to 5 ("very high"). Provide details on the reasons underlying the assigned "rating".
*	Usefulness of the information received: 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ Not possible to assess ☐	The scale with five notches ranges from 1 ("not useful") to 5 ("very useful").

	Details: _____	Provide details on the reasons underlying the assigned “rating”. Feedback on the timeliness on spontaneous disclosures and the information therein would be included here.
*	Follow-up: ☐ Internal analysis ☐ Suspension ☐ Dissemination (based on consent) to _____ ☐ Others: _____ Details: _____	Describe the follow-up given to the case or analysis to which the information provided refers to.
*	Outcomes: ☐ Case archived ☐ Use in investigations, started or ongoing ☐ Use in prosecutions, started or ongoing ☐ Seizure – Amount of assets: _____ ☐ Conviction ☐ Acquittal ☐ Confiscation – Amount of assets: _____ ☐ Supervisory actions: _____ ☐ Fiscal enforcement: _____ ☐ Other outcomes: __ Description: _____	Details on the outcomes, based on the type selected, should be provided (when available and relevant) in the field “Description”. This could include, for example, information on dates, subjects involved.