

FOR CROSS-BORDER REPORTS

Fields marked with * are mandatory, and must always be completed, while fields marked with ** are conditional mandatory and must be completed only when relevant or when the related information is available to the disclosing FIU. Fields marked with *** shall be replicated as necessary on a case-by-case basis.

SECTION 1 – XBR DETAILS		
	Fields/Types of information	Description
*	Owner:	Name of the sending FIU
*	XBR name: _____ XBR Refence: _____	Internal name of the XBR XBR reference no.
**	☐ Urgency Reasons for urgency: _____	
*	Report Type:	Type if the original report (STR/SAR)
*	Reporting Entity Type: _____	Free text field
	Reporting Entity Name: _____	Free text field
*	Reasons for reporting: _____ Grounds of suspicion:	Free text field

In the next Sections 2 and 3, at least one of the fields – 2.1, 2.2 or 3 – must be completed. These fields are marked as mandatory because at least one of them is required; however, completing only one of the three is sufficient.

Section 2 - PERSONS		
	Fields/Types of information	Description
*	2.1. NATURAL PERSONS (***)	Data in this section should concern the natural persons of interest in the original report of suspicions.
	IDENTIFICATION	

*	Given Name (s)	Free text field (in cases where the distinction between given and family name is unknown, include here the full name)
**	Family Name(s)	Free text field In principle, full information on both given name and family name shall be included mandatorily and separately. In exceptional cases where the identity data on name and family names are not complete or cannot be confirmed, the relevant fields shall nonetheless be filled in with available information, while appropriate caveats and details should be provided in the “Comments” field.
**	Previous name(s)	Free text field
**	Gender ☐ Female ☐ Male ☐ Other	Enumeration

	Aliases	
**	Date of birth	Format <i>DD-MM-YYYY</i>
**	Nationality(ies)	Enumeration
**	Place of birth – Country	Enumeration
**	Place of birth - City	Free text field
**	Occupation	Enumeration
**	Unique Identification Number	Free text field
**	Politically Exposed Person (PEP) ☐ Yes ☐ No	Enumeration
**	Sanction status: ☐ Yes ☐ No Sanctions list reference: _____	This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists. When the 'Yes' is ticked, the the list shall be indicated.
**	Person involved in an investigation ☐ Yes ☐ No	
	IDENTIFICATION DOCUMENTS	Add more entities using add entity function
**	Identification Document Type	Enumeration

**	Number	Free text field
**	Country of Issuance	Enumeration
**	Issuing Authority	Free text field
**	Date of Issuance	Format <i>DD-MM-YYYY</i>
**	Expiry date	Format <i>DD-MM-YYYY</i>
	Additional information	Free text field
	RESIDENCE	
**	Country	Enumeration
**	City	Free text field
**	State/province	Free text field
**	Street	Free text field
**	Number	Free text field
**	Postcode	Free text field
**	Contacts: ☐ Telephone (with country code) ☐ E-mail ☐ Webpage (url) ☐ Social media ☐ IP address ☐ IMEI ☐ Other: free text	Enumeration

*	2.2. LEGAL PERSONS (***)	Data in this section should concern the legal persons of interest in the original report of suspicions.
	IDENTIFICATION	
*	Company name	Free text field
**	Previous name(s)	Free text field
**	Trade name	Free text field
**	Entity status	Enumeration
**	Country of incorporation	Enumeration
**	Date of registration	Format <i>DD- MM-YYYY</i>

**	Date of closure	Format <i>DD-MM-YYYY</i>
**	Registration number	Free text field
**	Legal type	Enumeration
**	Contacts: ☐ Telephone (with country code) ☐ E-mail ☐ Webpage (url) ☐ Social media ☐ IP address ☐ IMEI ☐ Other: free text	Enumeration
**	Managing Director	Free text field
**	Beneficial Owner	Free text field
**	Tax Identification Number (TIN)	Free text field
**	Legal Entity Identifier (LEI)	Free text field
**	Business Sector	Free text field
**	Additional details: _____	Free text field
**	Sanction status: ☐ Yes ☐ No Sanctions list reference: _____	This field indicates whether the subject is a listed subject in Targeted Financial Sanction lists. When the 'Yes' is ticked, the the list shall be indicated.
	ADDRESS	
**	Country	Enumeration

**	City	Free text field
**	State/province	Free text field
**	Street	Free text field
**	Number	Free text field
**	Postcode	Free text field
**	Status: ☐ Current ☐ Previous ☐ Unknown	Enumeration

SECTION 3 – ACCOUNTS OR OTHER BUSINESS RELATIONSHIPS		
	Fields/Types of information	Description
*	ACCOUNTS OR OTHER BUSINESS RELATIONSHIPS (***)	Information on accounts or other types of relationships listed in the original report of suspicions.
*	Type of the relationship	Free text field
*	Natural or legal person to which the account or the business relationship is linked.	Enumeration indicating the subjects listed in the sections above and residual option 'unknown'.
	Type of link ☐ Holder	

**	☐ Authorised signer ☐ Beneficial Owner ☐ Representative ☐ Other: specify	Enumeration
**	Country code	Enumeration
**	Institution type	Free text field
**	Institution name	Free text field
**	Institution code	Free text field
**	Branch name	Free text field
**	Branch code	Free text field
**	Account number	Free text field
**	Account type (name)	Free text field
**	IBAN	Free text field
**	SWIFT/BIC	Free text field
**	Status of the account or the relationship ☐ Open Date: Additional info: free text ☐ Closed Date: Additional info: free text ☐ Temporarily suspended Date: Reason and additional info: free text ☐ Other: free text	Enumeration
**	Status date	Format DD-MM-YYYY
**	Opening date	Format DD-MM-YYYY
**	Currency	Enumeration
**	Balance of the account	Free text field
**	Date of balance	Format DD-MM-YYYY
	Exchange rate	Free text field

SECTION 4 – TRANSACTIONS

	Fields/Types of information	Description
**	TRANSACTIONS (***)	
**	Transaction ID:	Free text field
**	Transaction date:	Format DD-MM-YYYY
**	Transaction Type	Free text field
**	Description of the transaction	Free text field
**	Originator	Free text field
**	Beneficiary	Free text field
**	Originator's Account Country Location	Enumeration
**	Beneficiary's Account Country Location	Enumeration
**	Status	Free text field
**	Currency	Enumeration
**	Amount	Free text field
**	Amount in €	Free text field
**	Description	Free text field

SECTION 5 – ATTACHMENTS		
	Fields/Types of information	Description
	Attachment 1 description: _____ Attachment 2 description: _____ Attachment 3 description: _____ ...	Possible attachments should be referenced here with short description of the contents.